PTO/SB/21 (08-03)
Approved for use through 08/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE rwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/821.636 PADEMARY **TRANSMITTAL** Filing Date March 29, 2001 FORM First Named Inventor Hiroyuki Ikeda Art Unit 2811 (to be used for all correspondence after initial filing) **Examiner Name** Thien F. Tran Attorney Docket Number 075834.00064 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Post Card Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) The Commissioner is hereby authorized to charge any fees due or to credit any overpayment to Deposit Account Response to Missing Parts/ Incomplete Application No. 50-1794. Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Robert J. Depke, Holland & Knight LLC 131 South Dearborn Street 39th Eleor, Chicago, IL 60603 Individual name Signature Date 0 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name pkeg بانـ Depke (Reg. No. 37,607) Date Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

09/821,636

Confirmation No.: 5712

Applicant:

Xppl. No.:

Hiroyuki Ikeda

Filed:

March 29, 2001

TC/A.U.:

2811

Examiner:

Thien F. Tran

Docket No.:

075834.00064

Customer No.:

33448

PETITION TO SHOW TIMELY FILING

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

OFFICE OF THE SPECIAL PROGRAMS EXAMINER

SIR:

On November 18, 2003 the patent office mailed a Notice of Abandonment in the above referenced United States patent application as a result of a purported failure to respond to the outstanding office action dated March 19, 2003.

Contrary to these assertions, the undersigned submits that Applicant submitted a timely response which was actually received by the patent office in a timely manner as detailed below. Accordingly, Applicant request that the abandoned status of this application be withdrawn and the Examiner now consider Applicant's response to the outstanding office action.

In support of this petition the undersigned notes that Applicant filed a timely Request for Continued Examination prior to expiration of the six-month period with the requisite fees App. No. 09/821,636

Petition to Show Timely Filing dated April 8, 2004

and request for extension of time to respond as well as a cover sheet referencing the express mailing label and a certificate of mailing. A copy of each these documents is attached along with the preliminary amendment accompanying the RCE. The documents were submitted via express mail on September 19, 2003 via mailing label number ET910403511US.

The patent office apparently received the Request for Continued Examination as reflected by the September 19, 2003 entry from the PAIR file contests history record, a copy of which is attached hereto. Additionally, Applicant has attached a copy of the stamped postcard receipt reflecting receipt in the patent office on September 19, 2003 of the response.

In light of the foregoing, Applicant submits that a timely response was actually filed and indeed received by the patent office in a timely manner and accordingly, Applicant requests that the Examiner withdraw the abandoned status of this application and now consider the outstanding office action.

Respectfully submitted,

Date: April 8, 2004

Robert J. Depke
HOLLAND & KNIGHT LLC

(Reg. #37,607)

HOLLAND & KNIGHT LLC 131 S. Dearborn, 30th Floor

Chicago, Illinois 60603

Tel: (312) 263-3600 Attorney for Applicant

Attorney for Applicant

App. No. 09/821,636 Petition to Show Timely Filing dated April 8, 2004



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States

Postal Service as First Class Mail on April 8, 2004, in an envelope addressed to:

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Attorney tor Applicant



United States Patent and Trademark Office

, 571 272 1665

Home | Site Index | Search | Guides | Contacts | eBusiness | eBiz alerts | News | Help

PATENT APPLICATION INFORMATION RETRIEVAL



Continuity Data



Other Links



Search results for application number: 09/821,636									
Application Number:	09/021,030	33448							
Filing or 371(c) Date:	03-29-2001	Status:	Abandoned Failure to Respond to an Office A						
Application Type:	Utility	Status Date:	11-17-2003						
Examiner Name:	TRAN, THIEN F	Location:	TECH CENTER 2800 - CENTRAL FILE CP-4						
Group Art Unit:		Location Date:	11-25-2003						
Confirmation Number:	5712	Earliest Publication No:	US 2001-0030323 A1						
Attorney Docket Number:	09792909-4795	Earliest Publication Date:	10-18-2001						
Class/ Sub-Class:	257/059	Patent Number:							
	Hiroyuki Ikeda, Kanagawa, (JP)	Issue Date of Patent:	-						
Title Of Invention:	: Thin film transistor apparatus								

File Contents History							
Number	Date	Contents Description					
22	11-18-2003	Mail Abandonment for Failure to Respond to Office Action					
21	11-17-2003	Abandonment for Failure to Respond to Office Action					
20	09-19-2003	Workflow - Request for RCE - Begin					
19	03-19-2003	Mail Final Rejection (PTOL - 326)					
18	03-19-2003	Final Rejection					
17	01-10-2003	Date Forwarded to Examiner					
16	01-03-2003	Response after Non-Final Action					
15	10-24-2002	Mail Non-Final Rejection					
14	10-21-2002	Non-Final Rejection					
12	07-29-2002	Date Forwarded to Examiner					
11	07-15-2002	Response to Election / Restriction Filed					
10	07-25-2002	Correspondence Address Change					
9	07-25-2002	Change in Power of Attorney (May Include Associate POA)					
8	06-07-2002	Mail Restriction Requirement					
7	06-06-2002	Requirement for Restriction / Election					
6	12-11-2001	Case Docketed to Examiner in GAU					
5	07-02-2001	Case Docketed to Examiner in GAU					
4	06-01-2001	Application Dispatched from OIPE					
3	06-01-2001	Correspondence Address Change					
2	04-19-2001	IFW Scan & PACR Auto Security Review					

Foreign Priority

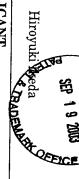
1 03-29-2001 Initial Exam Team nn

|.HOME | INDEX| SEARCH | eBUSINESS | CONTACT US | PRIVACY STATEMENT



HON. COMMISSIONER OF PATENTS ALFXANDRIA, VA 22313-1450

SIR: ACKNOWLEDGE RECEIPT OF THE ATTACHED: PLEASE APPLY A RECEIPT STAMP HERETO AND MAIL TO



APPLICANT

September 17, 2003

MAILING DATE

Transmittal, Prelim. Amendment with Time Extension Request, Fee Transmittal, Request for Continued Examination Express Mail Certificate, PTO/SB/30

\$750 & \$930 checks

TYPE OF DOCUMENT(S):

EXPRESS MAIL NO. ET910403511US

075834.00064 SN09/821,636

HOLLAND & KNIGHT LLC REFERENCE NUMBER

19

OFFICE OF THE SPECIAL PROGRAMS EXAMINER

PTO/SB/30 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Unos. erwork Reduction Act of 1995, no persons are require Request For

Continued Examination (RCE) **Transmittal**

Address to: Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

<u>ed to respond to a collection of informa</u>	ition unless it contains a valid OMB control number.
Application Number	09/821,636
Filing Date	March 29, 2001
First Named Inventor	Hiroyuki Ikeda et al.
Art Unit	2811
Examiner Name	Thien F. Tran
Attorney Docket Number	075834.00064

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

 Submission required under 37 CFR 1.114 Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).
a. Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
i. Consider the arguments in the Appeal Brief or Rely Brief previously filed on
li Other
b. Enclosed
I. Amendment/Reply iii. Information Disclosure Statement (IDS)
ii. Affidavit(s)/ Declaration(s) iv. Other
Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a a. period of months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
b. Other
3. Fees The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.
The Director is hereby authorized to charge the following fees, or credit any overpayments, to
a. Deposit Account No. 50-1794
i. RCE fee required under 37 CFR 1.17(e)
ii. Extension of time fee (37 CFR 1.136 and 1.17)
iii. Other
b. Check in the amount of \$ 750.00 & \$930.00 enclosed
c. Payment by credit card (Form PTO-2038 enclosed)
WARNING: Information on this form may become public. Credit card information should not
be included on this form. Provide credit card information and authorization on PTO-2038.
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED
Name (Print/Type) Registration No. (Attomey/Agent) 37.607
Signature
CERTIFICATE OF MAILING OR TRANSMISSION
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below. EXPLOS Mail No. ET910403511US
Name (Print/Type) Robert D. Depke 189.
Signature Date 9/19/03

This collection of informations reduced by 37 (FB 1.11). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

1,680.00

PTO/SB/17 (05-03)

Approved for use through 04/30/2003. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE work Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTA		Complete if Known					
FEE IKANSIVIII IA	L	Applic	ation	Numb	er 09/821,636	09/821,636	
		Filing Date			March 29, 2001	March 29, 2001	
for FY 2003		First Named Inventor			ntor Hiroyuki Ikeda et al.	Hiroyuki Ikeda et al.	
Effective 01/01/2003. Patent fees are subject to annual revision.		Examiner Name			Thien F. Tran	Thien F. Tran	
Applicant claims small entity status. See 37 CFR 1.27		Art Unit			2811	2811	
TOTAL AMOUNT OF PAYMENT (\$) 1,680.00		Attorney Docket No.			No. 075834.00064		
METHOD OF PAYMENT (check all that apply)				FEE	E CALCULATION (continued)		
Check Credit card Money Other None Deposit Account:		ADDITIONAL FEES					
Deposit 50.4704	Fee Cod		Fee Code	Fee (\$)	Fee Description	ee Paid	
Number	1051	130	2051		Surcharge - late filing fee or oath		
Deposit Account HOLLAND & KNIGHT LLP	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		
Name The Director is authorized to: (check all that apply)	1053	130	1053		Non-English specification	——	
Charge fee(s) indicated below Credit any overpayments	1812	2,520	1812		For filing a request for ex parte reexamination		
Charge any additional fee(s) during the pendency of this application	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	l	
1. BASIC FILING FEE	1252	410	2252	205	Extension for reply within second month	30.00	
Large Entity Small Entity	1253	930	2253	465	Extension for reply within third month	30.00	
Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1254	1,450	2254	725	Extension for reply within fourth month		
1001 750 2001 375 Utility filing fee	1255	1,970	2255	985	Extension for reply within fifth month		
1002 330 2002 165 Design filing fee	1401	320	2401	160	Notice of Appeal		
1003 520 2003 260 Plant filing fee	1402	320	2402	160	Filing a brief in support of an appeal		
1004 750 2004 375 Reissue filing fee	1403	280	2403	140	Request for oral hearing		

Total Claims	2. EX IF	KA C	LAIMIF	EES	Fee from	1501	1,300	2501	650	Utility issue fee (or reissue)	
Independent Claims Multiple Dependent Large Entity Small Entity Fee Fee Fee Fee Fee Code (\$) 1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3 1203 280 2203 140 Multiple dependent claims over original patent 1204 84 2204 42 ** Reissue independent claims over original patent 1205 18 2205 9 ** Reissue claims in excess of 20 1206 18 2205 9 ** Reissue claims in excess of 20 1207 18 2205 9 ** Reissue claims in excess of 20 1208 18 2205 9 ** Reissue claims in excess of 20 1209 18 2205 9 ** Reissue claims in excess of 20 1200 18 2205 9 ** Reissue claims in excess of 20 1201 18 2205 9 ** Reissue claims in excess of 20 1202 18 2205 9 ** Reissue claims in excess of 20 1203 18 2205 9 ** Reissue claims in excess of 20 1204 18 2205 9 ** Reissue claims in excess of 20 1205 18 2205 9 ** Reissue claims in excess of 20 1207 18 2205 9 ** Reissue claims in excess of 20 1208 18 2205 9 ** Reissue claims in excess of 20 1209 18 2205 9 ** Reissue claims in excess of 20 1200 18 2205 9 ** Reissue claims in excess of 20 1201 18 2205 9 ** Reissue claims in excess of 20 1202 18 2205 9 ** Reissue claims in excess of 20 1203 18 2205 9 ** Reissue claims in excess of 20 1204 18 1806 180 1806 180 Submission of Information Disclosure Stmt 1205 18 2206 9 Recording each patent assignment per 1206 1807 50 750 2809 375 750 2809				E		1502	470	2502	235	Design issue fee	L
Claims Multiple Dependent Large Entity Small Entity Small Entity Fee Fee Fee Fee Fee Code (\$) Code (\$)				-20**	=	1503	630	2503	315	Plant issue fee	
Large Entity Small Entity Fee Fee Code (\$) Fee Description Code (\$) 1806 180 1806 180 1806 180 Submission of Information Disclosure Stmt 8021 40 802	Claims		L	- 3**	=	1460	130	1460	130	Petitions to the Commissioner	
Fee Fee Code (\$) 1202 18 1202 9 Claims in excess of 20 1203 280 1204 84 1204 84 1204 84 1205 18 1205 18 1205 18 1205 18 1206 Fee Code (\$) 1207 Fee Code (\$) 1208 Fee Code (\$) 1209 Claims in excess of 20 1809 750 1809 750 1809 750 1809 750 1809 750 2809 375 Filing a submission after final rejection (37 CFR 1.129(a)) 1810 750 2810 375 For each additional invention to be examined (37 CFR 1.129(b)) 1801 750 2801 375 Request for Continued Examination (RCE) 1802 900 Request for expedited examination	Multiple [Deper	ndent		=	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Code (\$)						1806	180	1806	180	Submission of Information Disclosure Stmt	
1201 84 2201 42 Independent claims in excess of 3 1203 280 2203 140 Multiple dependent claims in ot paid 1204 84 2204 42 **Reissue independent claims over original patent	Code (\$	i)	Code			8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1204 84 2204 42 ** Reissue independent claims over original patent 1801 750 2801 375 Request for Continued Examination (RCE) 750.00				•	*· * ·····	1809	750	2809	375		
1204 84 2204 42 Reissue independent claims over original patent 1801 750 2801 375 Request for Continued Examination (RCE) 750.00	1203 28	80	2203	140	Multiple dependent claim, if not paid	1810	750	2810	375		
	1204	84	2204	42		1801	750	2801	375	•	750.00
	1205	18	2205	9		1802	900	1802	900		

1452

1453 1,300

110

2452

1005 160

2005 80

Provisional filing fee

SUBTOTAL (2)

SUBTOTAL (1) (\$) 0.00

(\$) 0.00

1451 1,510 Petition to institute a public use proceeding

55 Petition to revive - unavoidable

650 Petition to revive - unintentional

SUBTOTAL (3)

**or number previously paid, if greater; For Reissues, see above (Complete (if applicable) SUBMITTED BY Registration No. Telephone 312-263-3600 37,607 Name (Print/Type) Robert J. Depke Signature

Other fee (specify)

*Reduced by Basic Filing Fee Paid

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

OIPE		ET	. 410r	0351	.l L			
APR 1 2 2004 E	5 no persons are required	to re	U.S soond to a	. Patent a	and Tra	opproved for use through 04/30/2003	FOF COMMERCE	
100						mplete if Known		1
FEE TRANS	SMILIAL	. f	Application	n Numb		09/821,636		
MALIF		ľ	Filing Da	e		March 29, 2001		l
for FY 2		Ī	First Nan	ed Inve	ntor	Hiroyuki Ikeda et al.		
Effective 01/01/2003. Patent fees are su	ıbject to annual revision.	4	Examine	Name		Thien F. Tran		
Applicant claims small entity status.	See 37 CFR 1.27		Art Unit	-		2811		
TOTAL AMOUNT OF PAYMENT	(\$) 1,680.00		Attorney Docket No. 075834.00064					<i>!</i> •
METHOD OF PAYMENT (check						LCULATION (continued)		
Check Credit card Money Order			DDITION Entity 1 Sn			·		
Deposit Count:	F	ee	Fee Fee		•	Fee Description	Fee Paid_	
Account Number 50-1794	1	1051	130 20			narge - late filing fee or oath		ł
Deposit HOLLAND & KNIGHT	LLP 1	1052	50 20	25		narge - late provisional filing fee or sheet		1
Name The Director is authorized to: (check all that a	app/v)	1053				English specification ing a request for <i>ex parte</i> reexamina	ation	
	edit any overpayments	1812 1804	· 1			esting publication of SIR prior to		
Charge any additional fee(s) during the pen	dency of this application				Exam	niner action resting publication of SIR after		
Charge fee(s) indicated below, except for to the above-identified deposit account.	ne ming ree	1805	1,840* 18	1,840	Exan	niner action		
FEE CALCULATIO	N I	1251	110 22			nsion for reply within first month nsion for reply within second month		·
1. BASIC FILING FEE	4	1252 1253	i	52 205 53 465		nsion for reply within third month	930.00	
Large Entity Small Entity Fee Fee Fee Fee Fee Description	F D			54 725		nsion for reply within fourth month		ł
Code (\$) Code (\$) 1001 750 2001 375 Utility filing fee				55 985	Exte	nsion for reply within fifth month	HECK NO.	75008352
LEAND & KINGHT LLP 10387	commissioner for P	are	nts			09/17/03 - C	·	75000032
OUCHER NO. INVOICE NO. G/L	NO.		DESCR				AMOUNT	020 00
195846 CHI 091703 0110	046100000000000000000000000000000000000	000	75834.6	4 09/17	7/03 \	USPTO Fee for 3 month exte		930.00
						,		
	•							
1								
1								
			-					
			ì				1	
			Ì					
								¢020_00
						TOTAL		\$930.00
,	,				•			

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

\$750.00

TOTAL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Hiroyuki Ikeda

Atty. Docket No.

075834.00064

Serial No.:

09/821,636

Group Art Unit:

2811

Filed:

March 29, 2001

Examiner:

Thien Tran

Invention:

"THIN FILM TRANSISTOR APPARATUS"

PRELIMINARY AMENDMENT ACCOMPANYING REQUEST FOR CONTINUED EXAMINATION (RCE)

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

SIR:

In response to the Office Action dated March 19, 2003, please amend the application as follows:

PETITION FOR EXTENSION OF TIME

Applicant hereby petitions for a three month extension of time to respond to the outstanding Office Action under 37 C.F.R. §1.136(a). The time to respond is thus extended to September 19, 2003. Applicant has included a check in the amount of \$930.00 as payment of the required fee set forth in 37 C.F.R. §1.17(a).

IN THE CLAIMS:

1. (Currently Amended) A display apparatus comprising:

a plurality of thin film transistors, each of said thin film transistor comprising a semiconductor thin film constituting a channel and having a threshold voltage, and a first gate electrode on one side of said semiconductor thin film and a second gate electrode on an opposite side of said semiconductor thin film,

and further comprising a means for adjusting the threshold voltage by applying a first threshold adjustment voltage to the second gate electrode when the first gate electrode receives a first control voltage and applying a second threshold adjustment voltage to the second gate electrode when the first electrode receives a second control voltage and wherein the voltage applied to the first gate electrode is different from the threshold adjustment voltage applied to the second gate electrode each during voltage application.

- 2. (Previously Amended) The semiconductor apparatus according to claim 1, wherein said semiconductor thin film constituting said channel is comprised of polycrystalline silicon which does not contain an impurity, and has a thickness of 100 nm or less.
- 3. (Original) The semiconductor apparatus according to claim 1, wherein said semiconductor thin film constituting said channel is comprised of polycrystalline silicon which contains an impurity effectively affecting the formation of a depletion layer, and has a thickness two times or less the maximum of the thickness of said depletion layer.
 - 4. (Previously Canceled).
 - 5. (Previously Canceled).

Please add the following new claims:

6. (Currently Amended) A liquid crystal display comprising a pair of substrates disposed having a predetermined gap, and a liquid crystal kept in said gap,

one of said substrates containing thereon a display portion in which a pixel electrode and a thin film transistor for driving said pixel electrode are integrated, and a peripheral circuit portion in which thin film transistors are integrated,

the other of said substrates containing thereon an opposite electrode which faces said pixel electrode,

each of said thin film transistors comprising a channel which has a predetermined threshold voltage and on-off operates depending on a gate voltage applied through a wiring, at least a part of said thin film transistors comprising a semiconductor thin film constituting said channel, and a first gate electrode and a second gate electrode, which are disposed on a surface and the other surface of said semiconductor thin film sandwiching an insulating film,

wherein said first gate electrode and said second gate electrode receive a first gate voltage and a second gate voltage, respectively, through wirings which are separately provided,

wherein said first gate electrode on-off controls said channel depending on said first gate voltage, and wherein said second gate electrode actively controls said threshold voltage depending on said second gate voltage to adjust the on-off operation of said thin film transistors and wherein the voltage applied to the first gate electrode is different from the voltage applied to the second gate electrode during voltage application.

- 7. (Original) The liquid crystal display according to claim 6, wherein said semiconductor thin film constituting said channel is comprised of polycrystalline silicon which does not contain an impurity effectively affecting the formation of a depletion layer, end has a thickness of 100 nm or less.
- 8. (Original) The liquid crystal display according to claim 7, wherein, in all of the thin film transistors contained in said display portion and said circuit portion, said semiconductor thin film constituting said channel does not contain an impurity effectively affecting the formation of a depletion layer.
- 9. (Original) The liquid crystal display according to claim.6, wherein said semiconductor thin film constituting said channel is comprised of polycrystalline silicon which contains an impurity effectively affecting the. formation of a depletion layer, and has a thickness two times or less the maximum of the thickness of said depletion layer.

- 10. (Original) The liquid crystal display according to claim 9, wherein, in all of the thin film transistors contained in said display portion and said circuit portion, said semiconductor thin film constituting said channel contains impurity of the same conductive type effectively affecting the formation of a depletion layer.
- 11. (Original) The liquid crystal display according to claim 6, wherein said second gate electrode actively controls said threshold voltage depending on said second gate voltage applied at least when said thin film transistors off operate, to thereby decrease a current flowing through said, channel when said thin film transistors off-operate, as compared to a current flowing through said channel when said second gate voltage is not applied.
- 12. (Original) The liquid crystal display according to claim 6, wherein said second gate electrode actively controls said threshold voltage depending on said second gate voltage applied at least when said thin film transistors on operate, to thereby increase a current flowing through said channel when said thin film transistors on-operate, as compared to a current flowing through said channel when said second gate voltage is not applied.
- 13. (Original) An electroluminescence display comprising a substrate having thereon a display portion in which an electroluminescence device and a thin film transistor for driving said electroluminescence device are integrated, and a peripheral circuit portion in which thin film transistors are integrated,

each of said thin film transistors comprising a channel which has a predetermined threshold voltage and on-off operates depending on a gate voltage applied through a wiring, at least a part of said thin film transistors comprising a semiconductor thin film constituting said channel, and a first gate electrode and a second gate electrode, which are disposed on a surface and a back surface of said semiconductor thin film through an insulating film,

wherein said first gate electrode and said second gate electrode receive a first gate voltage and a second gate voltage, respectively, through wirings which are separately provided,

wherein said first gate electrode on-off controls said channel depending on said first gate voltage, and

wherein said second gate electrode actively controls said threshold voltage depending on said second gate voltage to adjust the on-off operation of said thin film transistors and wherein the voltage applied to the first gate electrode is different from the voltage applied to the second gate electrode during voltage application.

- 14. (Original) The electroluminescence display according to claim 13, wherein said semiconductor thin film constituting said channel is comprised of polycrystalline silicon which does not contain an impurity effectively affecting the formation of a depletion layer, and has a thickness of 100 nm or less.
- 15. (Original) The electroluminescence display according to claim 14, wherein, in all of the thin film transistors contained in said display portion and said circuit portion, said semiconductor thin film constituting said channel does not contain an impurity effectively affecting the formation of a depletion layer.
- 16. (Original) The electroluminescence display according to claim 13, wherein said semiconductor thin film constituting said channel is comprised of polycrystalline silicon which contains an impurity effectively affecting the formation of a depletion layer, and has a thickness two times or less the maximum of the thickness of said depletion layer.
- 17. (Original) The electroluminescence display according to claim 16, wherein, in all of the thin film transistors contained in said display portion and said circuit portion, said semiconductor thin film constituting said channel contains impurity of the same conductive type effectively affecting the formation of a depletion. layer.
- 18. (Original) The electroluminescence display according to claim 13, wherein said second gate electrode actively controls said threshold voltage depending on said second gate voltage applied at least when said thin film transistors off-operate, to thereby decrease a current flowing through said channel when said thin film transistors off operate, as compared to a current flowing through said channel when said second gate voltage is not applied.

19. (Original) The electroluminescence display according to claim 13, wherein said second gate electrode actively controls said threshold voltage depending on said second gate voltage applied at least when said thin film transistors on-operate, to thereby increase a current flowing through said channel when said thin film transistors on-operate, as compared to a current flowing through said channel when said second gate voltage is not applied.

Please add the following new claim:

20. (Newly Added) A display apparatus comprising:

a plurality of thin film transistors, each of said thin film transistor comprising a semiconductor thin film constituting a channel and having a threshold voltage, and a first gate electrode above said semiconductor thin film and a second gate electrode below said semiconductor thin film,

and further comprising a means for adjusting the threshold voltage by applying a first threshold adjustment voltage to the second gate electrode when the first gate electrode receives a first control voltage and applying a second threshold adjustment voltage to the second gate electrode when the first electrode receives a second control voltage and wherein the voltage applied to the first gate electrode is different from the threshold adjustment voltage applied to the second gate electrode during voltage application.

REMARKS

Applicant has herein modified the claims to more specifically define the invention.

Applicant submits that the prior art provides no teaching or suggestion whatsoever regarding the claimed distinct voltages applied to the first and second gate electrodes.

Respectfully submitted,

Date: September 19, 2003

(Reg. #37,607)

HOLLAND & KNIGHT LLC

131 South Dearborn, 30th Floor Chicago, Illinois 60603

Tel: (312) 422-9050
Attorney for Applicant



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States

Postal Service as Express Mail No. ET910403511US, Post Office to Addressee, on

September 19, 2003 in an envelope addressed to:

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Attorney for Applicant

CHI1 #196842 v3

HOLLAND & KNIGHT LLC

131 South Dearborn 30th Floor Chicago, Illinois 60603

312-263-3600 FAX 312-578-6666 www.hklaw.com



19 September 18; 2003

"Express Mail" Mailing Label Number ET910403511US

19

Date of Deposit:

September 18, 2003

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Patent Application entitled:

THIN FILM TRANSISTOR APPARATUS

Inventor(s):

Hiroyuki Ikeda

Our Case No.

075834.00064

Express Mail Certificate, Request for Continued Examination Transmittal, Fee Transmittal, Preliminary Amendment With Three Month Time Extension Request Accompanying Request for

Continued Examination

Government filing fee

\$750

Extension fee

\$930

Post Card

Signature of person mailing application and fee